

# The Untapped Potential of Prognosis Science:

Balancing Certainty,  
Experience and Research

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2021  
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#PrognosisScience

# Disclosures

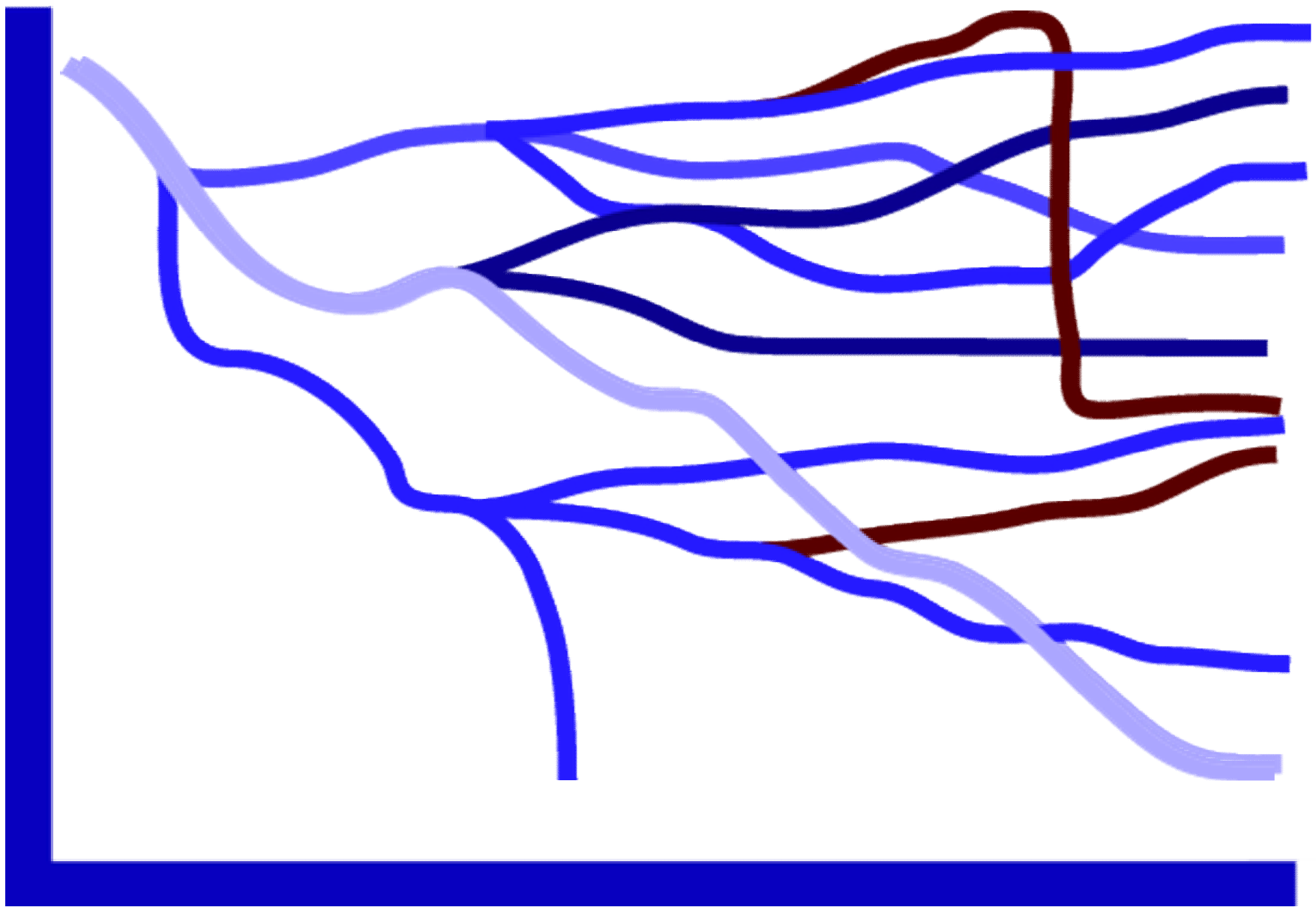
- I am a Stock/Shareholder: 3M, Cerner, Nektar Therapeutics, Moderna and Tilray.

# Objectives

- Discuss the current state of prognosis science
- Identify three tools to help with evidence-based prognostication

# What is a Prognosis?

An estimation of possible future outcomes of a treatment or a disease process founded upon a combination of experience, statistics and validated models



# Two Parts to Prognostication

- Formulation
  - Clinician estimates vs models
  - Rarely done explicitly
  - Rarely documented
  - Rarely tested
  - Not just predicting death
- Communication
  - Permission-based, empathetic
  - What did the patient/family understand?

# What Can be Predicted?

- Time to discharge
  - Case management/Utilization Review
  - Hospital throughput
- Functional outcome after therapy
  - Surgical outcomes
- Risk of medical outcome
  - Stroke, heart attack, cancer
  - 30-day re-hospitalization

# And Death...

HOME **Death Clock: The Internet's friendly reminder that life is slipping away...**

## The Death Clock™

Welcome to the Death Clock(TM), the Internet's friendly reminder that life is slipping away... second by second. Like the hourglass of the Net, the Death Clock will remind you just how short life is.



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**Enter Your Information:**

Day of Birth:

Month of Birth:

Year of Birth:

Sex:

Mode:

BMI\*:

Smoking Status:

\*To determine your Body Mass Index (BMI), enter your height and weight below.

### The Lethal Danger of Being Fat

Excess weight has a dramatic impact on one's health. The BMI (body mass index) is a way to



# Google

🔍 when will I



🔍 when will i **die**

🔍 when will i **see you again**

🔍 when will i **be loved**

# Predicting Risk of Death

- Medicare Hospice Benefit
- Withdrawal or withholding treatments
- Activating a living will
- Choosing to go home
- Distant relatives
- Talking about important issues
- Providing care

**Table 3: Association between patient overall satisfaction with EOL care and other variables: results from ordinary least squares multiple linear regression\***

Variable	$\beta$ (95% CI)	<i>t</i>	<i>p</i> value
Discussion of prognosis	3.57 (0.78 to 6.36)	2.51	0.012
Age	0.15 (0.02 to 0.28)	2.25	0.025
Rural	2.57 (0.15 to 4.98)	2.09	0.037
Female	-1.55 (-3.69 to 0.59)	-1.42	0.156
Retired	-0.59 (-3.09 to 1.90)	-0.47	0.640
Married or common-law status	-1.48 (-3.64 to 0.69)	-1.34	0.181
Has post-secondary education	-0.39 (-2.71 to 2.14)	-0.23	0.815
Religion stated	1.72 (-1.26 to 4.70)	1.14	0.257
Caregiver present	0.00 (-2.12 to 2.12)	0.00	0.998
Functional ability	0.04 (-0.05 to 0.13)	0.83	0.405
Cancer diagnosis	2.50 (0.37 to 4.62)	2.31	0.022

\* $F = 2.21$  ( $p = 0.014$ );  $df_1 = 11$ ,  $df_2 = 346$ ; adj.  $R^2 = 0.036$

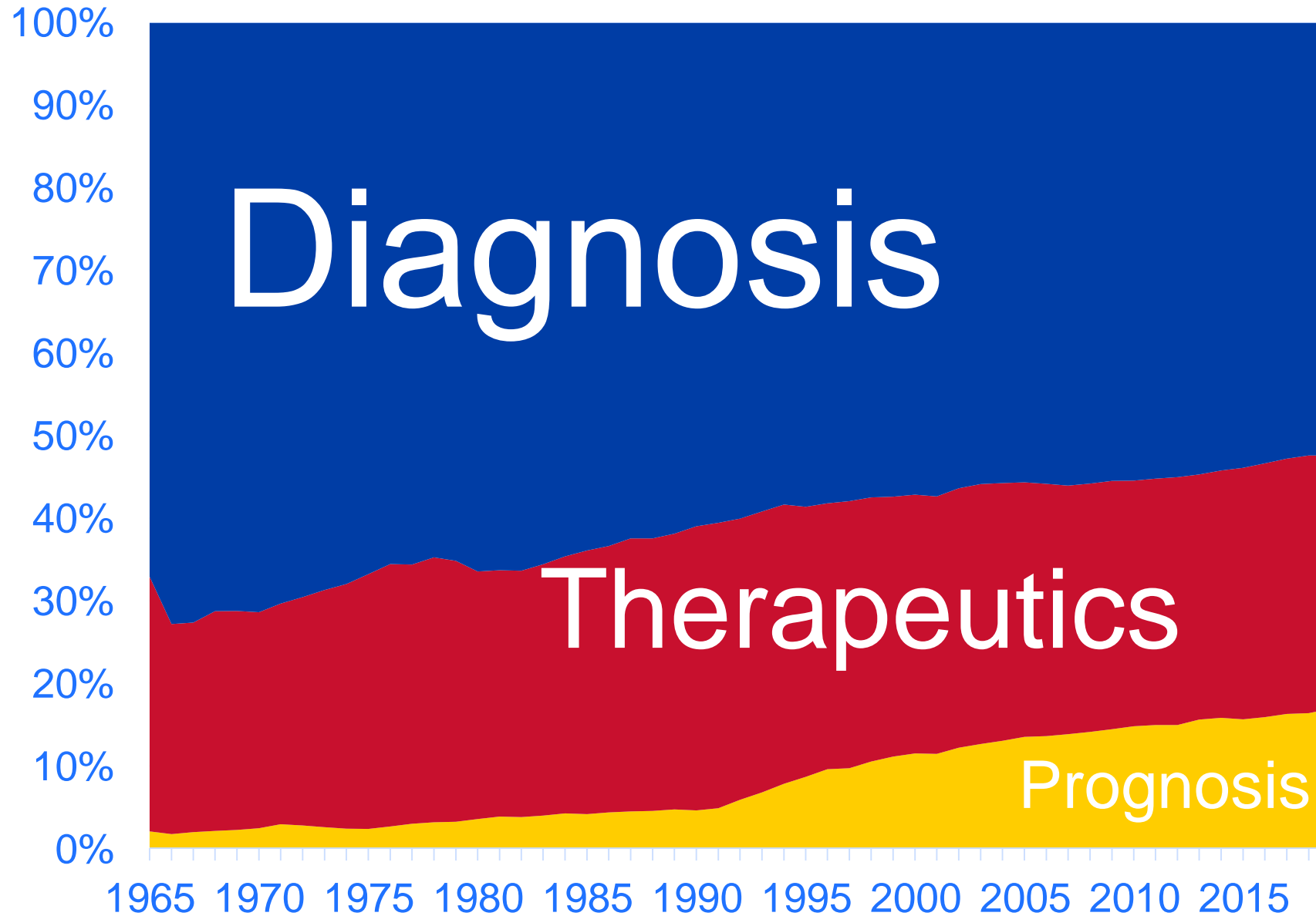
EOL = end of life, CI = confidence interval.

Note: The  $\beta$  estimates the increase in the dependent variables (satisfaction scores) per unit increase of continuous predictors or in the yes versus no group for binary predictors.

# The Research Problem

- Minimal research funding
- Minimal publications
- Mostly looking at genetic risk factors
- No revenue to be made (?)
- Lack of unified terminology
- Mystery of prognosis

## MESH Subject Headings



# Unified Terminology?

- Prognosis
  - Prognostication
  - Prediction
  - Risk
  - Risk Score
  - Risk Tool
  - Outcome
  - Outcome Risk
  - Event Rate
- Predictive Model
  - Predictive Analytics
  - Nomogram
  - Decision Support
  - Big Data
  - Artificial Intelligence
  - Genetic Risk
  - Predictive Biomarkers

**Table 2. Demographic and Attitudinal Factors Associated With Internists' Perception That Prognostication Is Stressful**

Variable	Prognostication Is Stressful (n = 381)	Prognostication Is Not Stressful (n = 250)	P*
Age, mean, y	45.3	46.2	.28
Sex, % male	79.5	82.0	.44
Specialty, % generalists	48.0	47.6	.92
Board certification, % certified	79.8	79.2	.86
Time spent in patient care, %	90.1	86.5	.04
Prognostic queries, mean No. of patients	23.8	21.1	.44
Life support withdrawal, mean No. of patients	16.1	18.3	.55
Difficulty, % finding prognostication difficult	68.0	44.1	<.001
Patient expectations, % thinking that their patients expect too much certainty	85.2	72.1	<.001
Training, % with inadequate training in prognostication	61.4	49.8	.004
Patient confidence, % feeling that patients would lose confidence after a prognostic error	55.6	42.3	.001



**Table 3. Internists' Perceptions About How Prognostic Errors Would Be Regarded by Patients and Colleagues**

<b>Statement</b>	<b>% Agreeing</b>
If I were to make an error in <i>diagnosis</i> , my <i>patients</i> might lose confidence in me	88.0
If I were to make an error in <i>prognosis</i> , my <i>patients</i> might lose confidence in me	50.2
If I were to make an error in <i>diagnosis</i> , my <i>colleagues</i> might lose confidence in me	81.3
If I were to make an error in <i>prognosis</i> , my <i>colleagues</i> might lose confidence in me	28.7
If a physician colleague made an error in prognostication, I would probably lose some confidence in the colleague	17.2



# Who Can We Learn From?

- Weather forecasting
- Underwriting
- Engineering
- Sports statistics
- Online advertising
- Fraud detection
- Predictive analytics
- Artificial intelligence/ machine learning

Reply hazy...

**ARE PHYSICIANS REALLY  
TERRIBLE AT PROGNOSIS?**

RESEARCH ARTICLE

# A Systematic Review of Predictions of Survival in Palliative Care: How Accurate Are Clinicians and Who Are the Experts?

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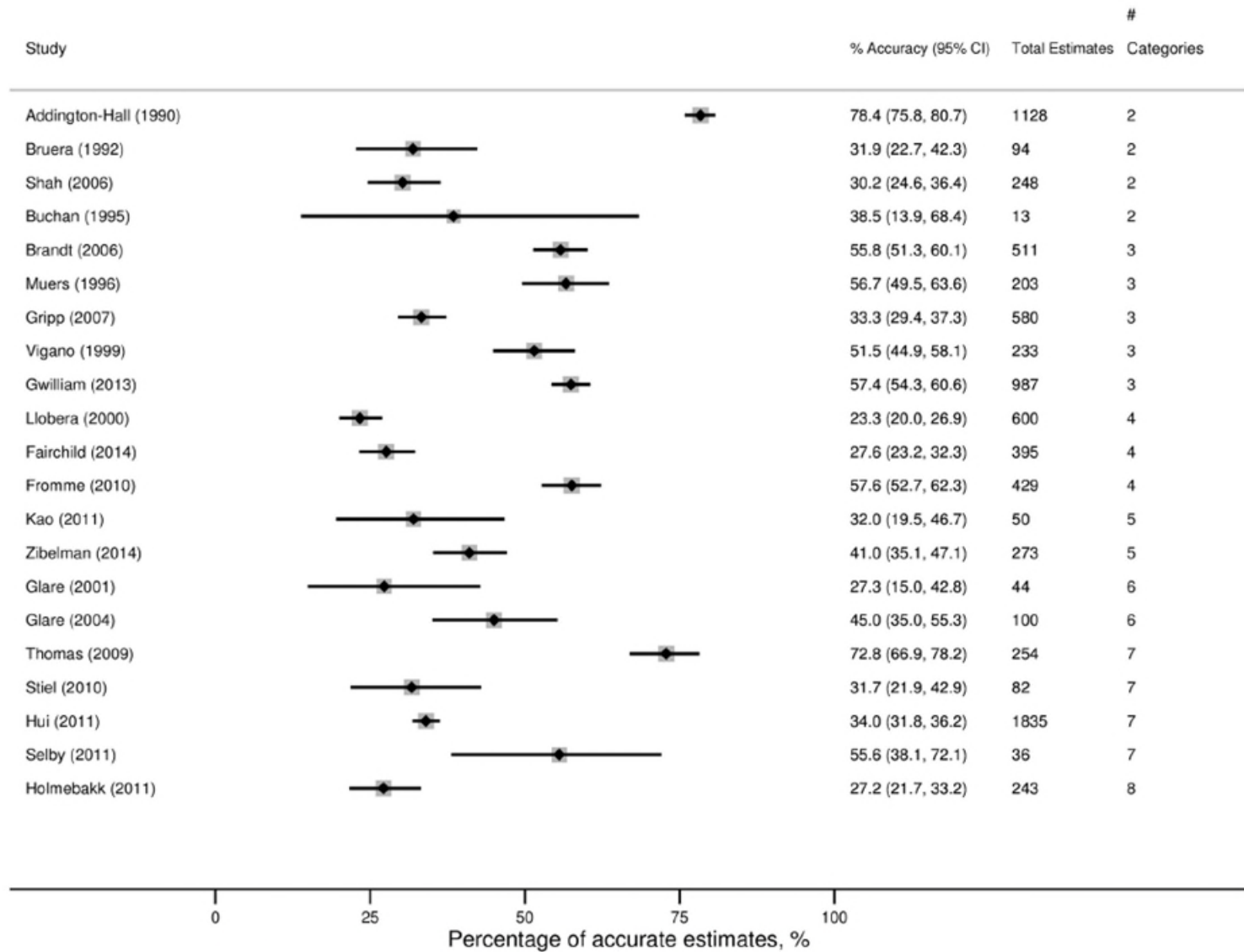
© These authors contributed equally to this work.

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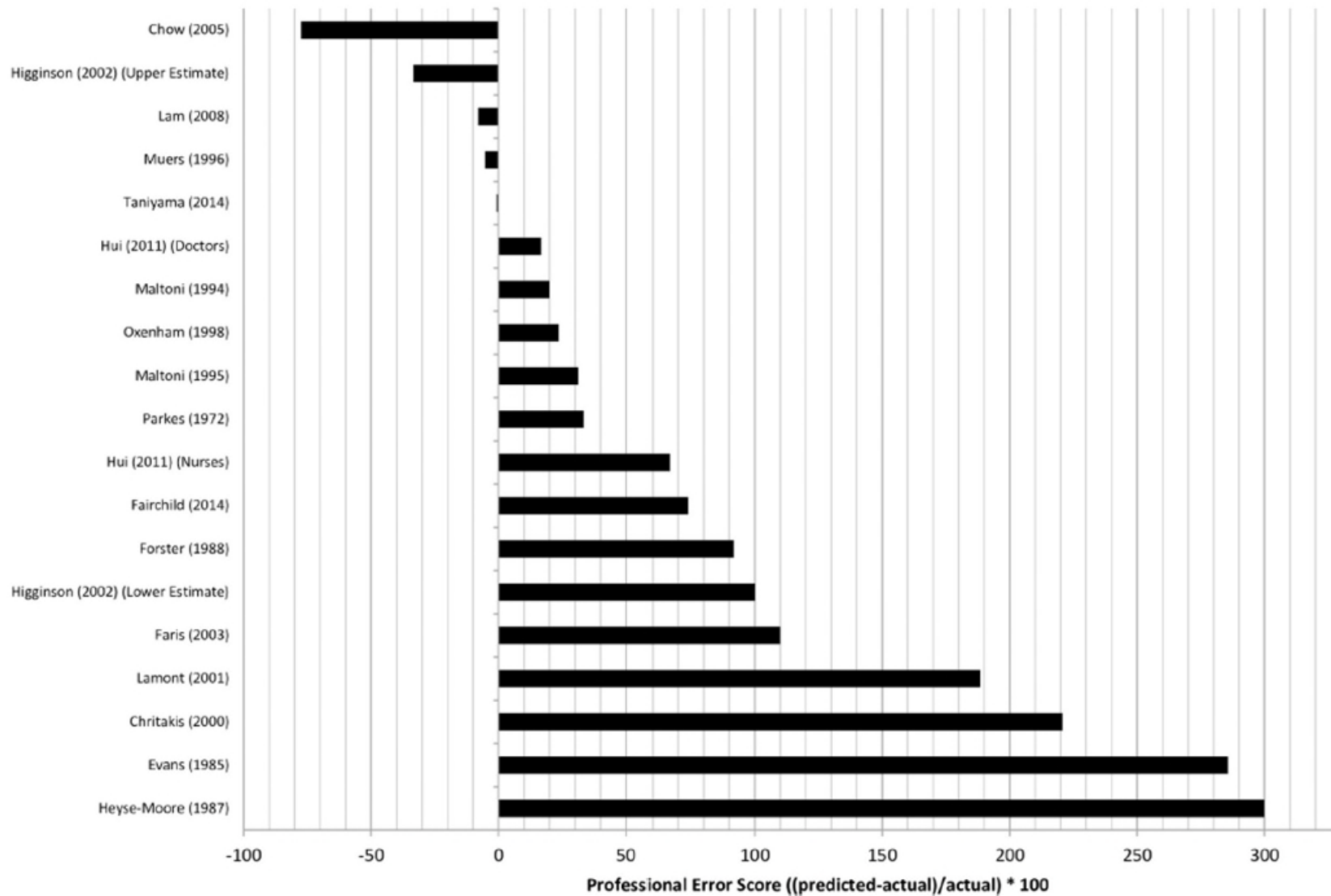


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**Fig 2. Summary data from studies in which clinicians provided categorical survival estimates (grouped by number of categories).** The data represented is the percentage of accurate estimates given out of the total number of estimates given. Note: The study by Gwilliam et al (2013) included doctor, nurse and MDT estimates. However, since the estimates were not independent of each other, only the MDT estimates have been presented here.

doi:10.1371/journal.pone.0161407.g002



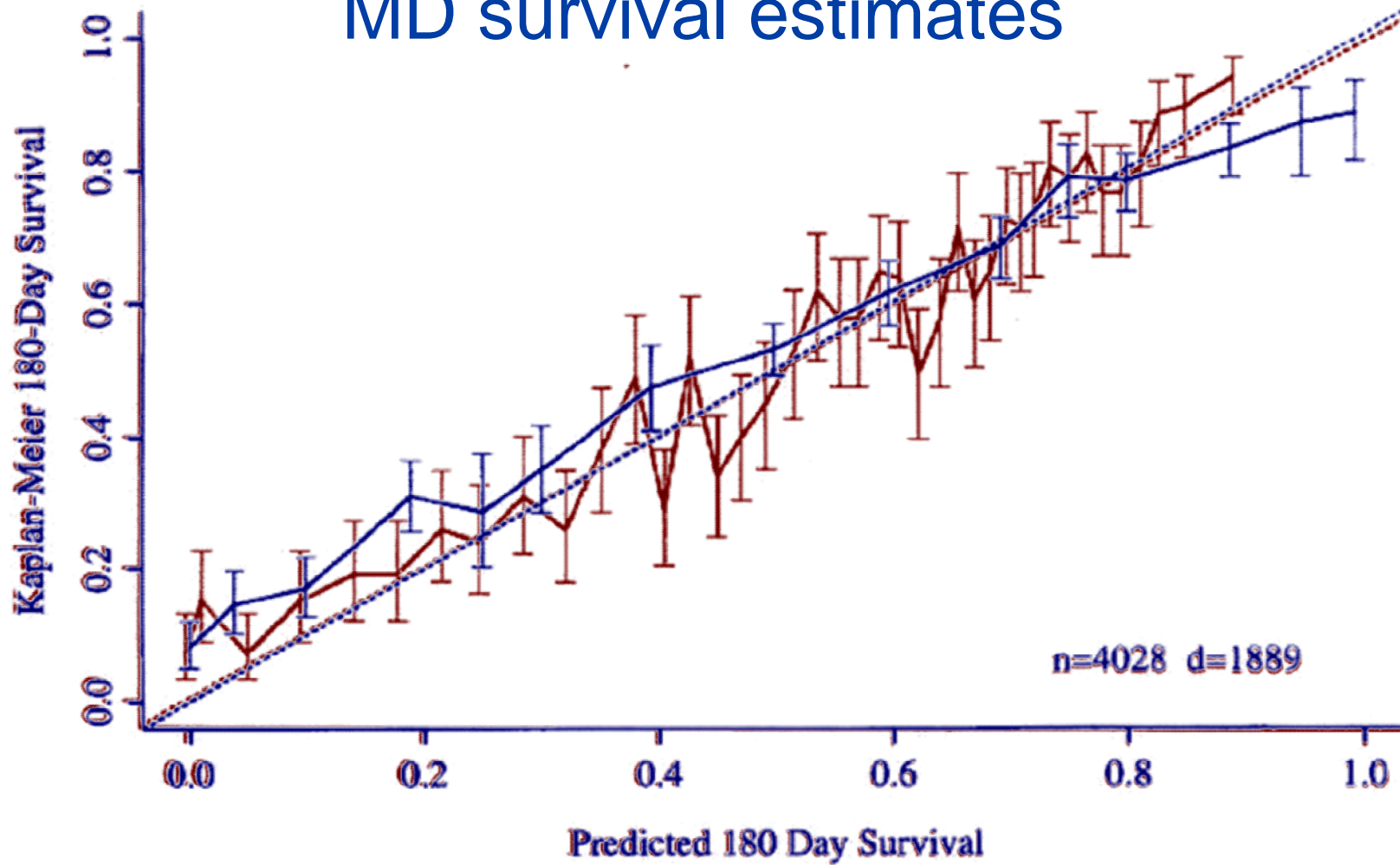
**Fig 3. Professional Error Score (PES) of clinicians' estimates of survival those studies where clinicians were asked to provide a continuous temporal estimate of survival.** The black bar in this figure indicates the overall accuracy of the clinicians' estimates (0 indicates perfect accuracy, positive values indicate over-estimates and negative values indicate under-estimates).

doi:10.1371/journal.pone.0161407.g003

# Types of Predictions

- Categorical
  - 0-30d, 31-60d, 61d-90d
  - Days, weeks, months, years
- Continuous
  - Any number of any time period
- Probabilistic
  - 20% chance to survive this hospital stay

# Comparison of SUPPORT and MD survival estimates



**Table 2. Comparison of the Various Models for Prediction of 180-Day Survival\***


Disease class	SUPPORT Model	SUPPORT Model with APS†	Physician's Estimate	SUPPORT Model and Physician's Estimate
All ( <i>n</i> = 4028, deaths = 1899)	0.78	0.78	0.78	0.82
Acute respiratory failure and multiple organ system failure ( <i>n</i> = 2057, deaths = 993)	0.77	0.78	0.78	0.82
Chronic obstructive pulmonary disease congestive heart failure, cirrhosis ( <i>n</i> = 1111, deaths = 346)	0.71	0.70	0.70	0.75
Coma ( <i>n</i> = 281, deaths = 205)	0.74	0.75	0.78	0.82
Colon and lung cancer ( <i>n</i> = 579, deaths = 345)	0.78	0.70	0.77	0.82

\* All calculations are based on 4028 SUPPORT phase II patients who completed 180 days of follow-up and had a physicians' prognostic estimate at study day 3. Each statistic is the area under the receiver-operating characteristic curve for 180-day vital status.

† APS = APACHE III acute physiology score.



# Understanding AUROC/AUC

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PMC

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 ISSN 1234-1010

**MEDICAL SCIENCE**  
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[Med Sci Monit](#). 2015; 21: 3555–3563.

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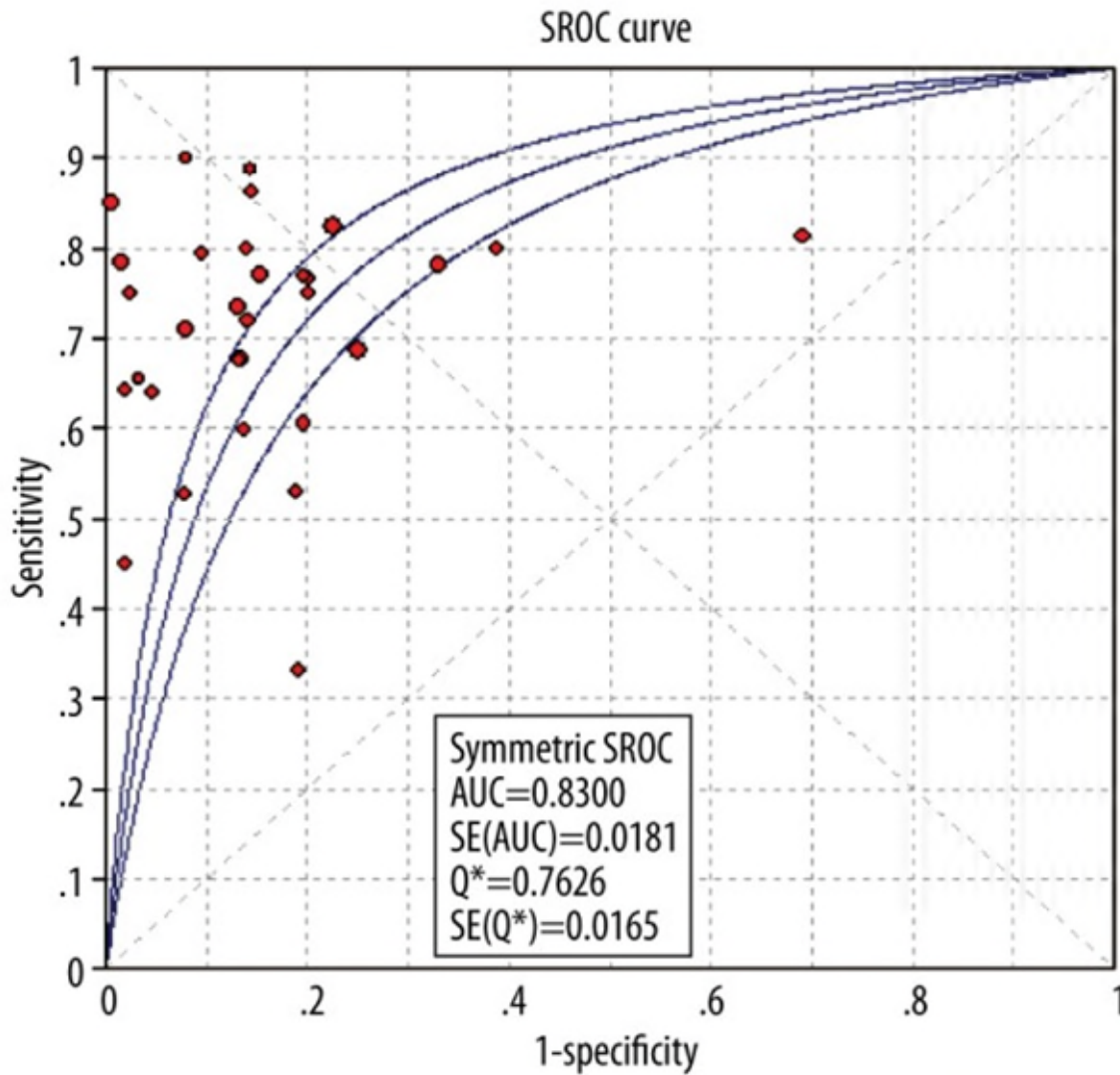
Published online 2015 Nov 18. doi: [10.12659/MSM.895040](#)

PMID: [26576628](#)

## Diagnostic Accuracy of Serum CA19-9 in Patients with Cholangiocarcinoma: A Systematic Review and Meta-Analysis

[Bin Liang](#),<sup>B</sup> [Liansheng Zhong](#),<sup>B,C</sup> [Qun He](#),<sup>C,F</sup> [Shaocheng Wang](#),<sup>C</sup> [Zhongcheng Pan](#),<sup>C</sup> [Tianjiao Wang](#),<sup>D</sup> and [Yujie Zhao](#)<sup>A</sup>

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## AUC/AUROC

0.5 = worthless

0.6 = eh

0.7 = promising

0.8 = pretty good

0.9 = excellent

1.0 = perfection

[Figure 5](#)

The summary receiver operating characteristic (SROC) curves of CA19-9 in diagnosis of CCA.

**Table 2. Comparison of the Various Models for Prediction of 180-Day Survival\***

Disease class	SUPPORT Model	SUPPORT Model with APS†	Physician's Estimate	SUPPORT Model and Physician's Estimate
All ( <i>n</i> = 4028, deaths = 1899)	0.78	0.78	0.78	0.82
Acute respiratory failure and multiple organ system failure ( <i>n</i> = 2057, deaths = 993)	0.77	0.78	0.78	0.82
Chronic obstructive pulmonary disease congestive heart failure, cirrhosis ( <i>n</i> = 1111, deaths = 346)	0.71	0.70	0.70	0.75
Coma ( <i>n</i> = 281, deaths = 205)	0.74	0.75	0.78	0.82
Colon and lung cancer ( <i>n</i> = 579, deaths = 345)	0.78	0.70	0.77	0.82

\* All calculations are based on 4028 SUPPORT phase II patients who completed 180 days of follow-up and had a physicians' prognostic estimate at study day 3. Each statistic is the area under the receiver-operating characteristic curve for 180-day vital status.

† APS = APACHE III acute physiology score.



Original Investigation | Emergency Medicine

# Association of Emergency Clinicians' Assessment of Mortality Risk With Actual 1-Month Mortality Among Older Adults Admitted to the Hospital

Kei Ouchi, MD, MPH; Tania Strout, PhD, RN, MS; Samir Haydar, DO, MPH; Olesya Baker, PhD; Wei Wang, PhD; Rachele Bernacki, MD, MS; Rebecca Sudore, MD; Jeremiah D. Schuur, MD, MHS; Mara A. Schonberg, MD, MPH; Susan D. Block, MD; James A. Tulsky, MD

## Abstract

**IMPORTANCE** The accuracy of mortality assessment by emergency clinicians is unknown and may affect subsequent medical decision-making.

**OBJECTIVE** To determine the association of the question, "Would you be surprised if your patient died in the next one month?" (known as the *surprise question*) asked of emergency clinicians with actual 1-month mortality among undifferentiated older adults who visited the emergency department (ED).

**DESIGN, SETTING, AND PARTICIPANTS** This prospective cohort study at a single academic medical center in Portland, Maine, included consecutive patients 65 years or older who received care in the ED and were subsequently admitted to the hospital from January 1, 2014, to December 31, 2015. Data analyses were conducted from January 2018 to March 2019.

**EXPOSURES** Treating emergency clinicians were required to answer the surprise question, "Would you be surprised if your patient died in the next one month?" in the electronic medical record when placing a bed request for all patients who were being admitted to the hospital.

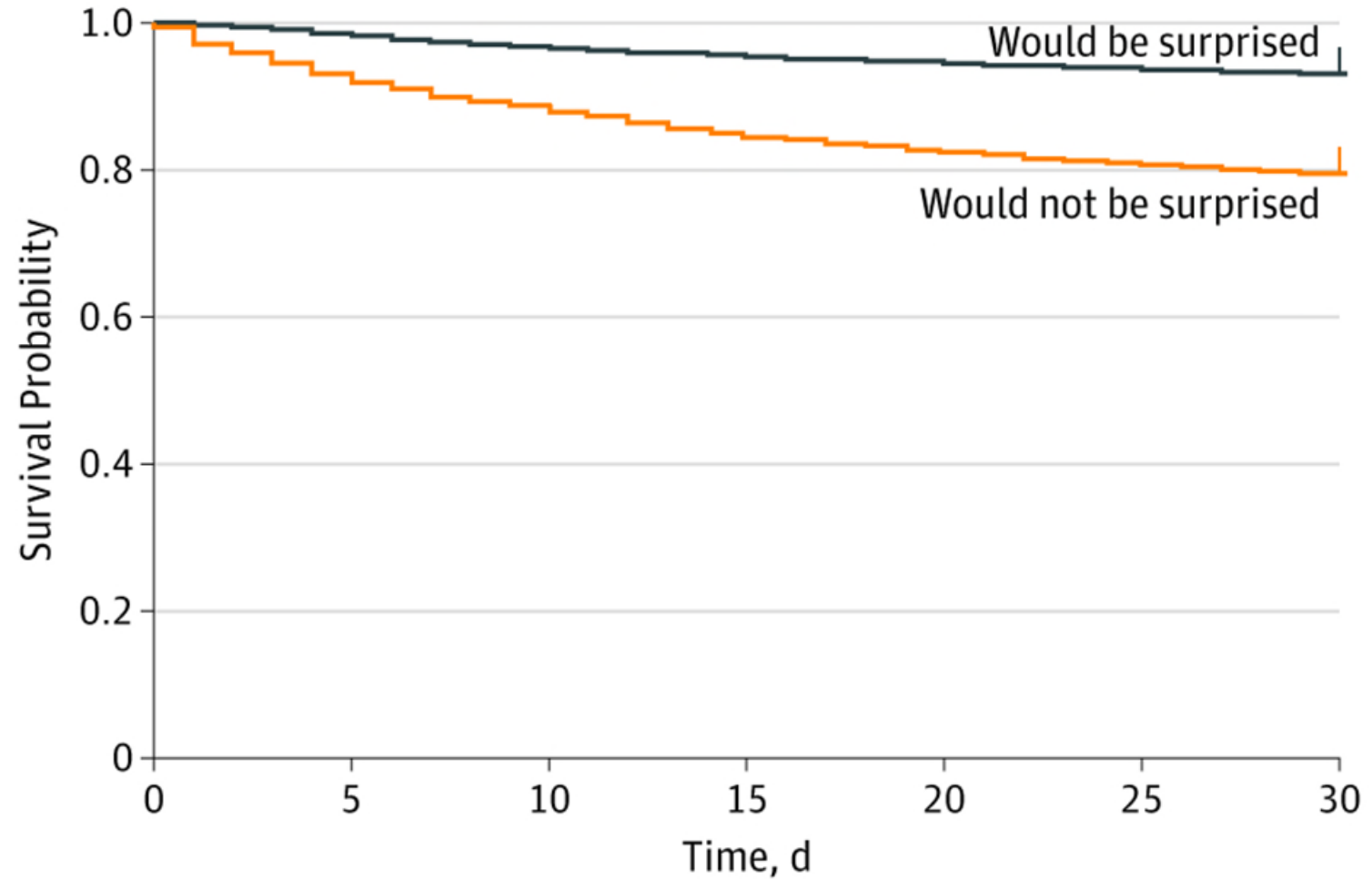
**MAIN OUTCOMES AND MEASURES** The primary outcome was mortality at 1 month, assessed from the National Death Index. The secondary outcomes included accuracies of responses by both emergency clinicians and admitting internal medicine clinicians to the surprise question in identifying older patients with high 6-month and 12-month mortality.

## Key Points

**Question** What is the association of emergency clinicians' assessment of mortality risk with the actual 1-month mortality among older adults who are admitted to the hospital from the emergency department?

**Findings** In this prospective cohort study including 10 737 older adults who visited the emergency department, emergency clinicians' response of no to the question, "Would you be surprised if your patient died in the next one month?" was associated with 1-month mortality after controlling for confounders. However, the diagnostic test characteristics of the surprise question were poor.

**Meaning** Asking emergency clinicians the surprise question may be a valuable tool to identify older patients in the ED with high risk of 1-month mortality.



No. at risk	0	5	10	15	20	25	30
Would be surprised	12 899	12 709	12 490	12 338	12 216	12 106	12 016
Would not be surprised	3 324	3 094	2 946	2 826	2 752	2 693	2 644

**Table 3. Diagnostic Test Characteristics of the Surprise Question Asked of Emergency Clinicians for the Actual 1-Month Mortality<sup>a</sup>**

Characteristic	Patient Vital Status at 1 mo From ED Visit			Test Characteristic
	Deceased	Alive	Total, No. (%)	
Clinician response to the surprise question <sup>b</sup>				
No, I would not be surprised	685 <sup>c</sup>	2639 <sup>d</sup>	3324 (20.5)	Sensitivity: 0.20
Yes, I would be surprised	896 <sup>d</sup>	12 003 <sup>c</sup>	12 899 (79.5)	Specificity: 0.93
Total, No. (%)	1581 (9.8)	14 642 (90.3)	16 223 (100)	
Predictive values	PPV: 0.43	NPV: 0.82		Accuracy: 0.78

Abbreviations: ED, emergency department; NPV, negative predictive value; PPV, positive predictive.

<sup>a</sup> Analysis was performed at individual patient visit level with general estimate equation model to account for repeated visits by the same patients.

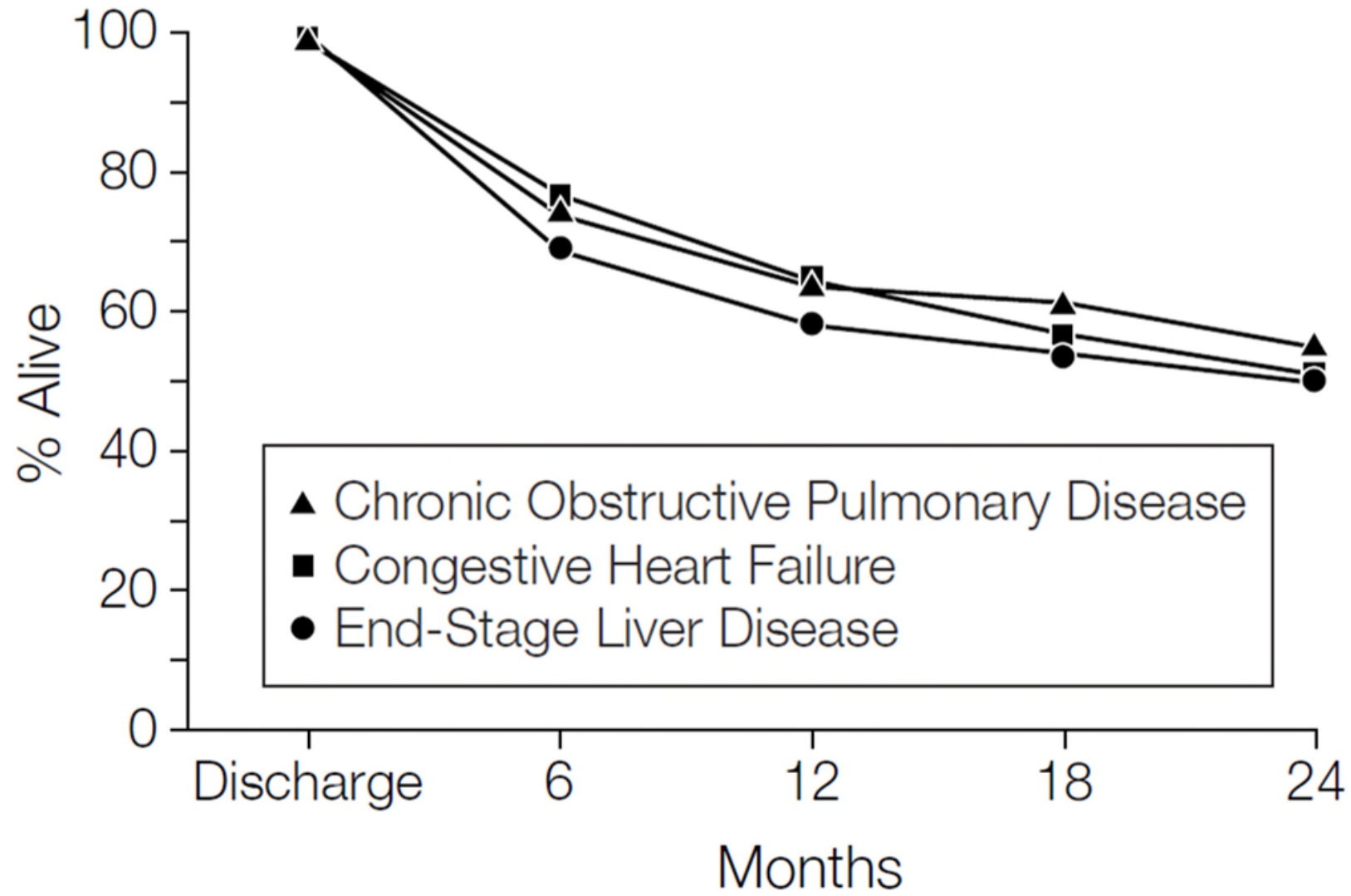
<sup>b</sup> At the time of requesting a bed through the electronic medical record system for the patient to be admitted to the hospital, the treating clinician was required to answer the surprise question, "Would you be surprised if your patient died in the next one month?" Clinicians could respond, "No, I would not be surprised," or "Yes, I would be surprised."

<sup>c</sup> Accurate prediction.

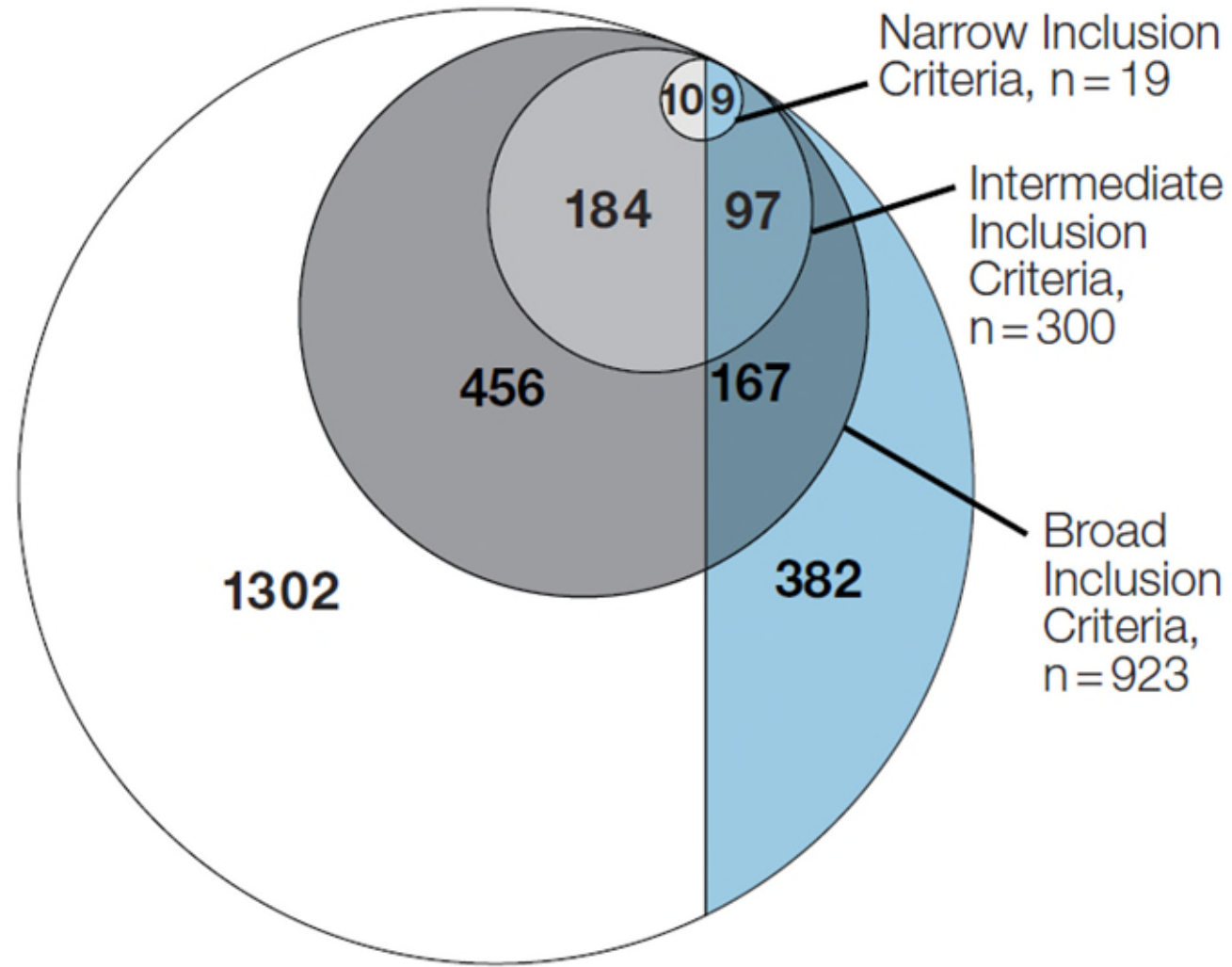
<sup>d</sup> Inaccurate prediction.

I wish.

**ARE HOSPICE ADMISSION  
CRITERIA EVIDENCE-BASED?**







Survived to Hospital Discharge, N = 2607

Surprise!

**WHAT DO PATIENTS AND  
FAMILIES THINK?**

# Pediatric Considerations

- Prognostic discussions more likely with PC
- Prognostic discussions earlier with PC
- Context is important, many patients want some information
- Parents consider both difficult & necessary
- Very little on accuracy

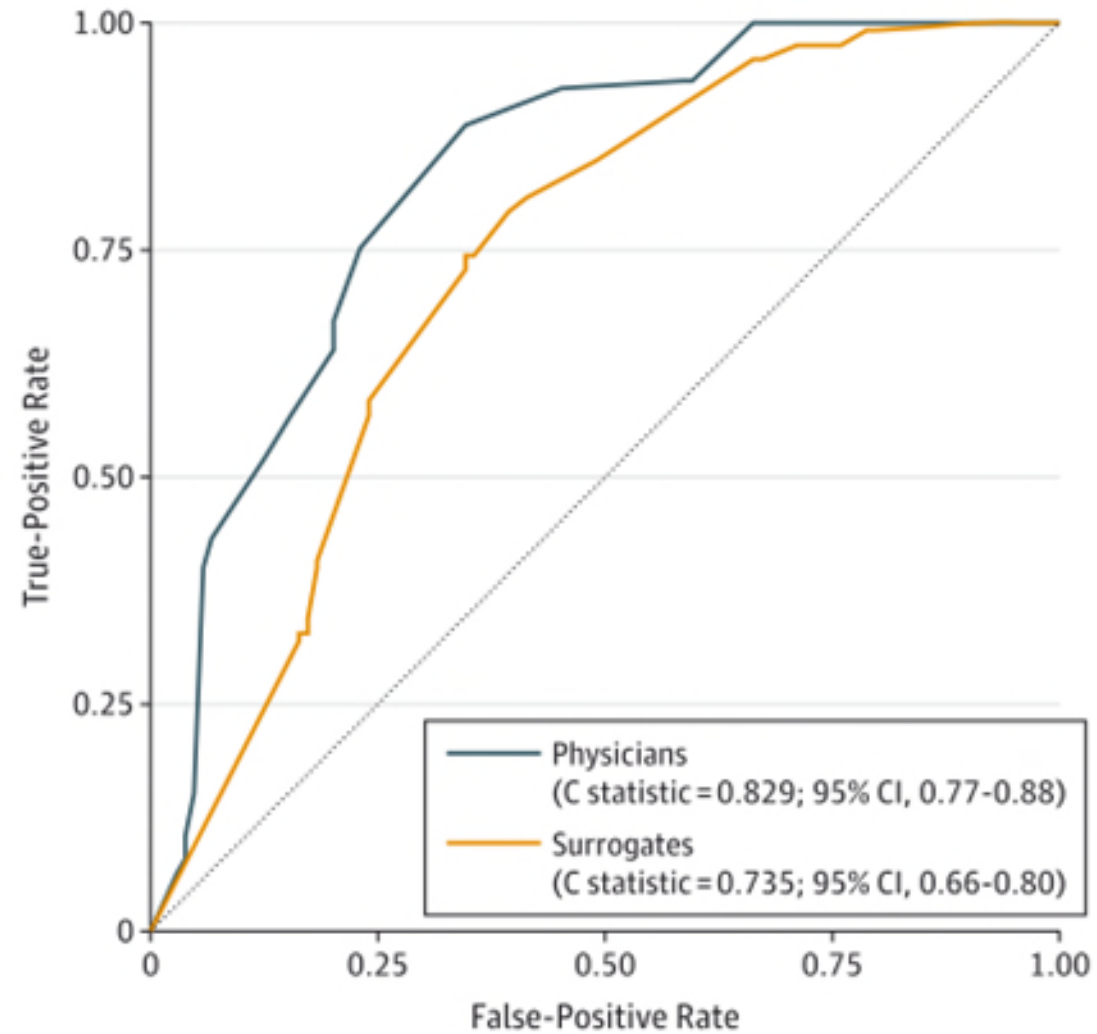
# SUPPORT Study

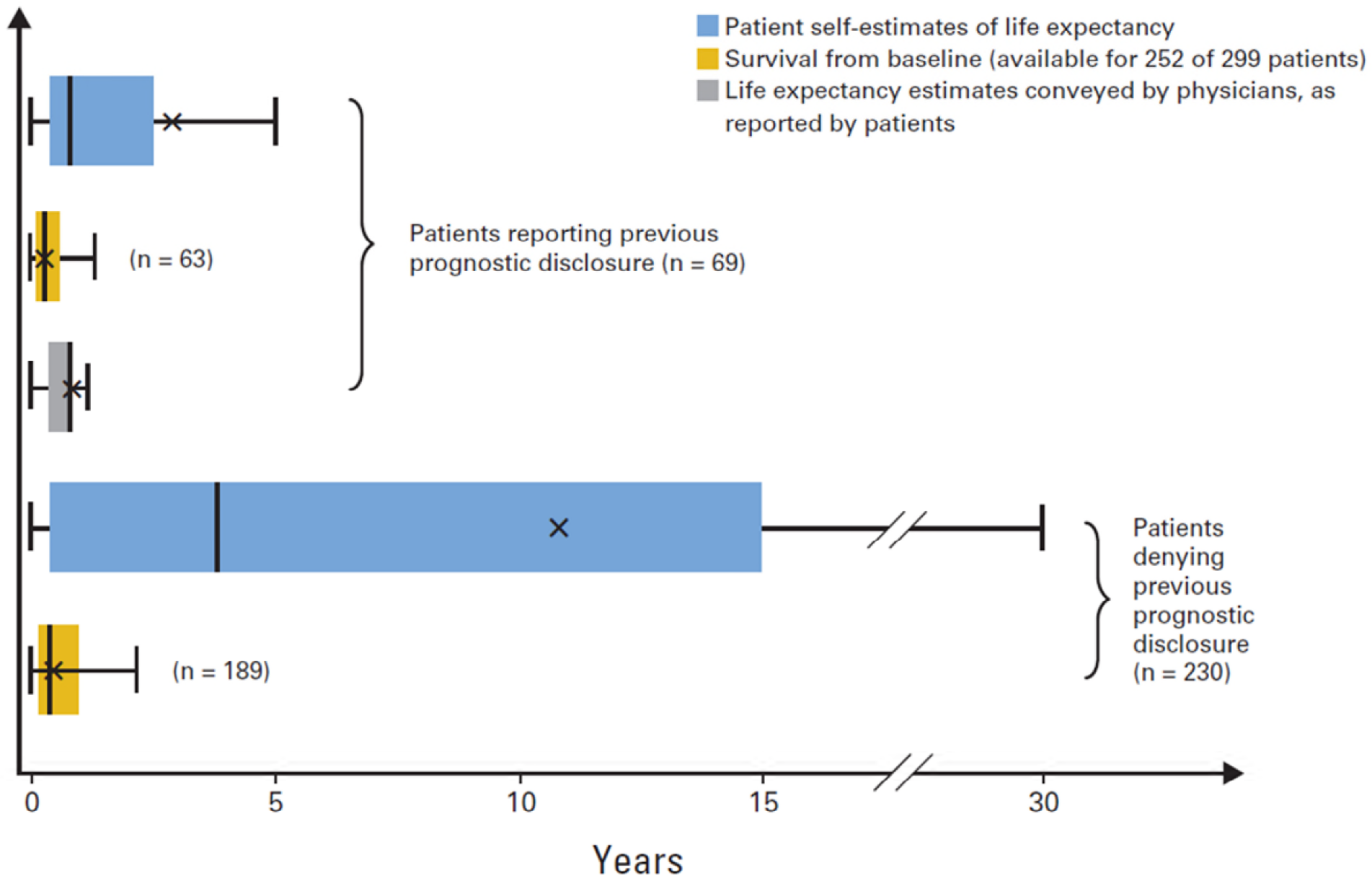
- Doctors provided formulated prognoses
- 59% of physicians acknowledged receiving
- 15% of physicians reported discussing w/  
patients/families

# Discordance

- 236 patients and 38 community oncologists
- Compared 2-year survival estimates
- 161 (68% discordant)
  - More common among non-white (95% vs 65%)
  - 144 (89%) of discordant patients did not know they were discordant
  - 155 (96%) were more optimistic

Figure 2. Receiver Operating Characteristic Curves for Accuracy of Prognostic Estimates of Patient Survival to Hospital Discharge





JAMA Internal Medicine | [Original Investigation](#)

# Assessment of Self-reported Prognostic Expectations of People Undergoing Dialysis

## United States Renal Data System Study of Treatment Preferences (USTATE)

Ann M. O'Hare, MD; Manjula Kurella Tamura, MD; Danielle C. Lavalley, PhD; Elizabeth K. Vig, MD; Janelle S. Taylor, PhD; Yoshio N. Hall, MD; Ronit Katz, DPhil; J. Randall Curtis, MD; Ruth A. Engelberg, PhD

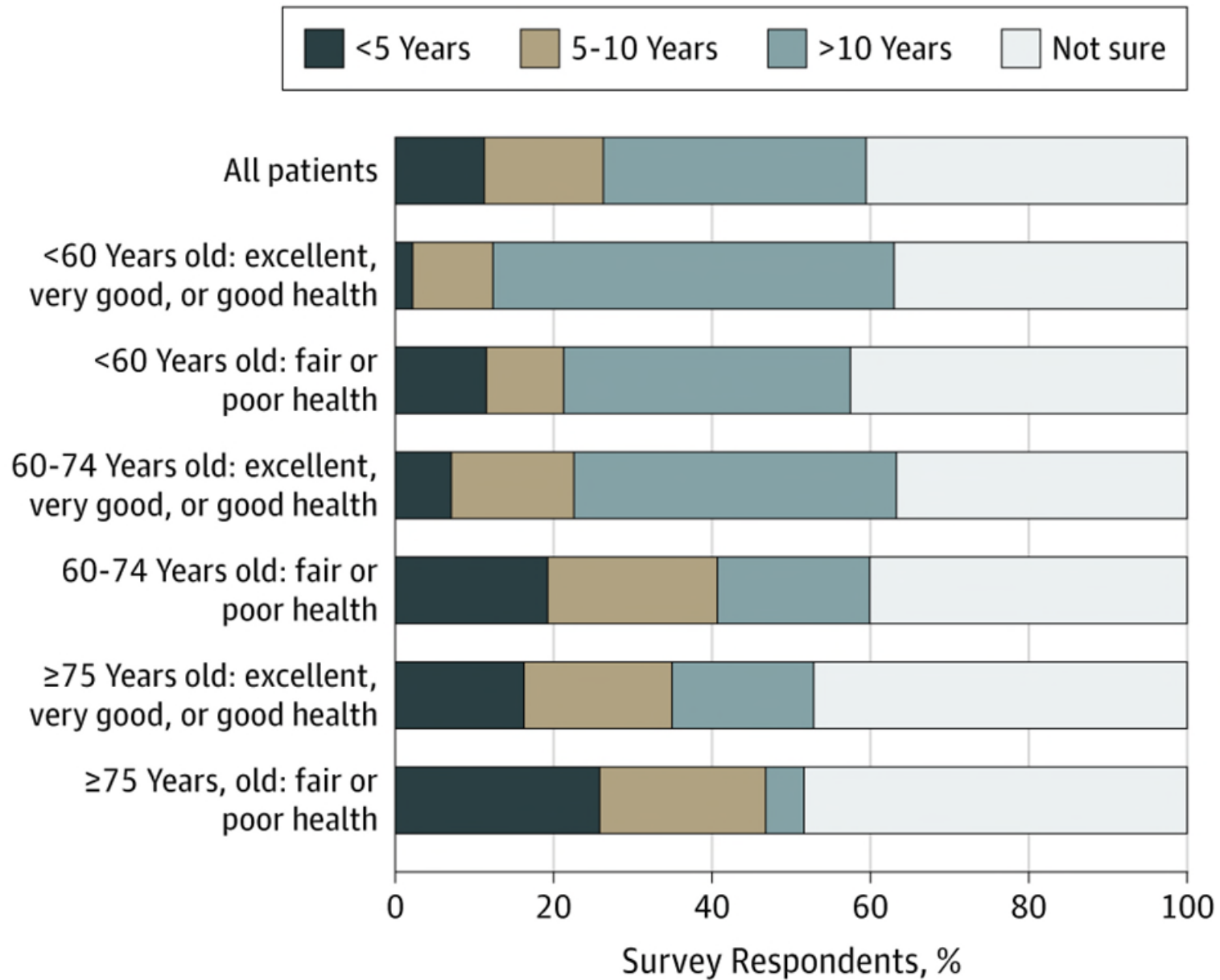
**IMPORTANCE** Prognostic understanding can shape patients' treatment goals and preferences. Patients undergoing dialysis in the United States have limited life expectancy and may receive end-of-life care directed at life extension. Little is known about their prognostic expectations.

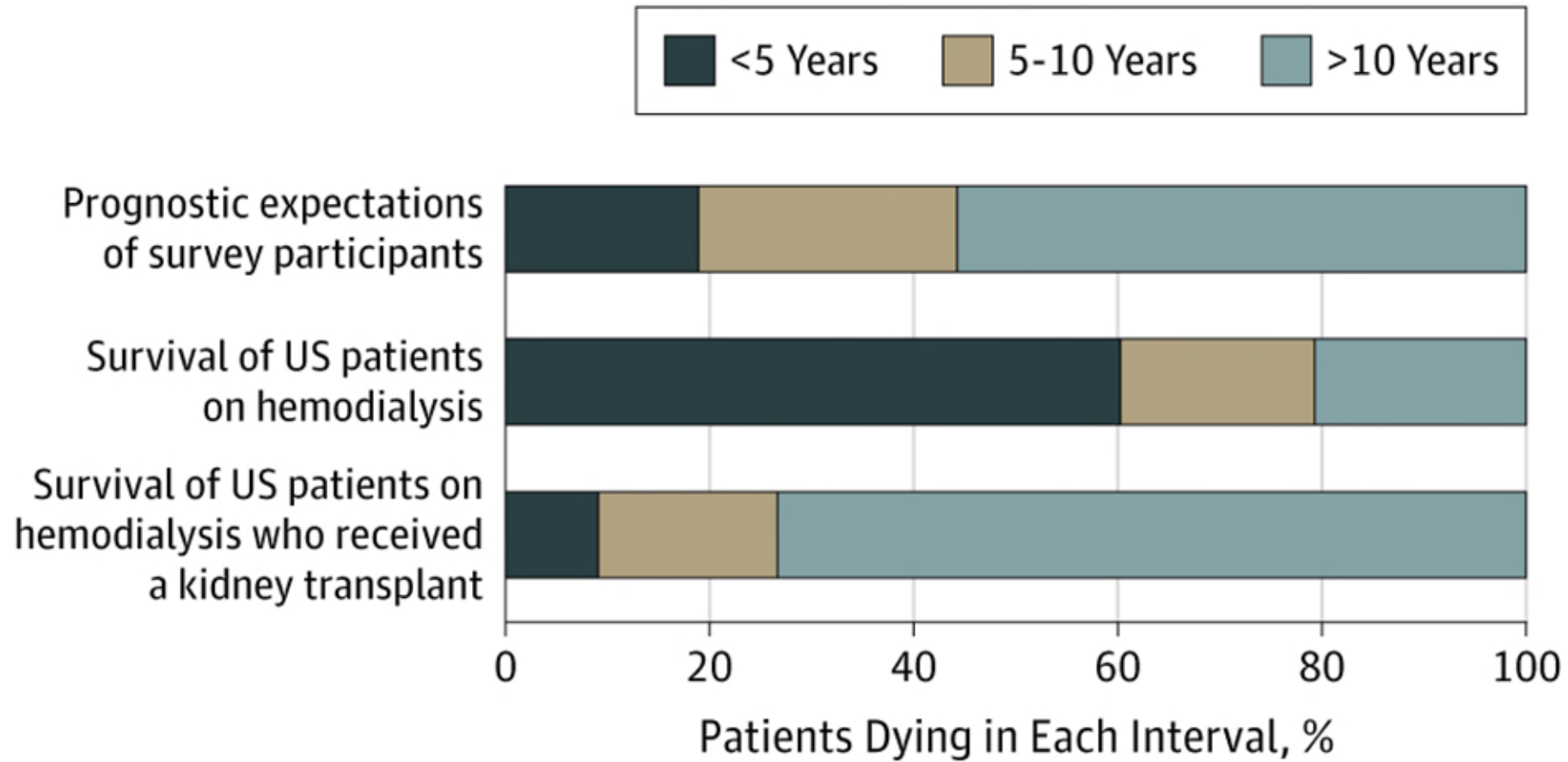
**OBJECTIVE** To understand the prognostic expectations of patients undergoing dialysis and how these relate to care planning, goals, and preferences.

**DESIGN, SETTING, AND PARTICIPANTS** Cross-sectional survey study of 996 eligible patients treated with regular dialysis at 31 nonprofit dialysis facilities in 2 metropolitan areas (Seattle, Washington, and Nashville, Tennessee) between April 2015 and October 2018. After a pilot phase, 1434 eligible patients were invited to participate (response rate, 69.5%). To provide a context for interpreting survey participants' prognostic estimates, United States Renal Data System standard analysis files were used to construct a comparison cohort of 307 602 patients undergoing in-center hemodialysis on January 1, 2006, and followed for death through July 31, 2017. Final analyses for this study were conducted between November 2018 and March 2019.

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Ha. No.

**DO DOCS OWN PROGNOSIS?**

# Nurse Perceived Barriers

- Logistics
- Discomfort with discussion
- Perceived lack of skill or training
- Fear of conflict

**Table 2. Practical Advice for Breaking Down Barriers to Communication About Serious Illness in Primary Care**

Domain	Practical Advice	Possible Solutions
Knowledge, skills, and attitudes	Primary care team builds knowledge, skills, and attitudes to conduct conversations about goals and values with patients with serious illnesses	Build training into medical school and primary care-track training programs Generate postgraduate training programs aimed at learning and practicing communication skills about serious illness Incentivize training time with professional requirement credits (eg, CME, CEU) and reimbursement (eg, RVU) for time spent in training
Prognostication	Primary care team identifies appropriate patients for discussions	Develop predictive analytic algorithms that identify high-risk patients Combine analytic approaches with clinician judgment to generate clinician buy-in Creation of registries, such as those driven by patient-centered medical homes, to track patients eligible for conversation
Timing and initiation of conversations	Primary care team create systems to prompt high-quality discussions with patients at the right time	Use integrated systems (eg, patient preparation, reminders) to facilitate the conversation Train nonclinician members of the primary care team (eg, social workers, nurses) to lead and follow up on these conversations Create care models and policies that incentivize primary care teams to have conversations
Lack of coordination	Primary care team takes responsibility for coordination with specialists and interdisciplinary services needed to follow up on conversations	Delineate explicit responsibilities for elements of conversation among clinicians Build care models that incentivize coordination of care between clinicians in a fragmented care delivery system Use policy to ensure follow-up and management of needs generated by conversations about patients' wishes
Documentation	Documentation of all information relevant to advance care planning is placed in a single location in the medical record	Create a single documentation site in the electronic medical record for all advance care planning, information, including MOLST or POLST forms Create standards and audit adherence to ensure use of the single chosen site
Feedback and quality improvement	Primary care team respond to appropriate metrics measuring quality, timing, and proportion of documentation for high-risk patients	Build practice metrics on timing, quality, and quantity of conversations completed for selected patients Develop national consensus and promulgation of key metrics for timing, quality, and number of conversations for different patient populations

Abbreviations: CEU, continuing education unit; CME, continuing medical education; MOLST, Medical Orders for Life-Sustaining Treatment; POLST, Physician Orders for Life-Sustaining Treatment; RVU, relative value unit.

I think so.

**SHOULD I WRITE A  
PROGNOSIS IN MY NOTE?**

TABLE 2. DOCUMENTATION OF PROGNOSTIC INFORMATION BY REASON FOR CONSULTATION

<i>Characteristic</i>	<i>All patients (n=412)</i>	<i>Symptom management consults (n=181)</i>	<i>Goals of care consults (n=108)</i>	<i>Both (n=123)</i>
<b>Documentation of functional status</b>				
ECOG only	12	3	2	7
KPS only	3	0	2	1
ECOG and KPS	8	3	2	3
Palliative Performance Scale	0	0	0	0
ADLs	1	0	1	0
<b>Documentation of EBP</b>				
Palliative Prognostic Score	0	0	0	0
Palliative Prognostic Index	0	0	0	0
MELD score	3	2	1	0
Walter Index <sup>1</sup>	1 <sup>1</sup>	0	0	1
Lee Index <sup>1</sup>	1 <sup>1</sup>	0	0	1

<sup>1</sup>The Walter Index and the Lee Index were documented for the same patient.

ADLs, Activities of Daily Living; ECOG, Eastern Cooperative Oncology Group; KPS, Karnofsky Performance Scale; MELD, Model for End Stage Liver Disease.

# Documentation of Discussions about Prognosis with Terminally Ill Patients

Elizabeth H. Bradley, PhD, Anna G. Hallemeier, BA, Terri R. Fried, MD,  
Rosemary Johnson-Hurzeler, MPH, RN, Emily J. Cherlin, MSW, Stanislav V. Kasl, PhD,  
Sarah M. Horwitz, PhD

**PURPOSE:** Previous studies have suggested the importance of communicating with patients about prognosis at the end of life, yet the prevalence, content, and consequences of such communication have not been fully investigated. The purposes of this study were to estimate the proportion of terminally ill inpatients with documented discussions about prognosis, describe the nature and correlates of such discussions, and assess the association between documented discussions about prognosis and subsequent advance care planning.

**SUBJECTS AND METHODS:** Inpatients (n = 232) at least 65 years old who had brain, pancreas, liver, gall bladder, or inoperable lung cancer were randomly selected from six randomly chosen community hospitals in Connecticut. The presence and content of discussions about prognosis, advanced care planning efforts, and sociodemographic and clinical factors were ascertained by comprehensive review of medical records using a standardized abstraction form.

**RESULTS:** Discussions about prognosis were documented in the medical records of 89 (38%) patients. Physicians and patients were both present during the discussion in 46 (52%) of these cases. Time until expected death was infrequently documented. Having a documented discussion about prognosis was associated with documented discussions of life-sustaining treatments (adjusted odds ratio [OR] = 5.8; 95% confidence interval [CI]: 2.8 to 12.0) and having a do-not-resuscitate order (adjusted OR = 2.2; 95% CI: 1.1 to 4.2).

**CONCLUSIONS:** Among terminally ill patients with cancer, discussions about prognosis as documented in medical charts are infrequent and limited in scope. In some cases, such documented discussions may be important catalysts for subsequent discussions of patient and family preferences regarding treatment and future care. *Am J Med.* 2001;111:218–223. ©2001 by Excerpta Medica, Inc.



**Table 3.** Percentage of Records Containing the 4 Key Parameters of Critical Results, Prognosis, Care Options, and Care Plan.

Documented Item	Primary Team	Palliative Care	<i>P</i> Value
Critical results	86.80%	81.57%	.329
Prognosis	31.57%	83.33%	<.001
Care options	50.00%	81.58%	<.001
Care plan	46.49%	81.58%	<.001

So meta...

# **WHAT IS THE FUTURE OF PROGNOSTICATION?**

# Improving Palliative Care with Deep Learning

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*Abstract*— Improving the quality of end-of-life care for hospitalized patients is a priority for healthcare organizations. Studies have shown that physicians tend to over-estimate prognoses, which in combination with treatment inertia results in a mismatch between patients wishes and actual care at the end of life . We describe a method to address this problem using Deep Learning and Electronic Health Record (EHR) data, which is currently being piloted, with Institutional Review Board approval, at an academic medical center. The EHR data of admitted patients are automatically evaluated by an algorithm, which brings patients who are likely to benefit from palliative care services to the attention of the Palliative Care team. The algorithm is a Deep Neural Network trained on the EHR data from previous years, to predict all-cause 3-12 month mortality of patients as a proxy for patients that could benefit from palliative care. Our predictions enable the Palliative Care team to take a proactive approach in reaching out to such patients, rather than relying on referrals from treating physicians, or conduct time consuming chart reviews of all patients. We also present a novel interpretation technique which we use to provide explanations of the model’s predictions.

sive care. Second, a shortage of palliative care professionals makes proactive identification of candidate patients via manual chart review an expensive and time-consuming process.

The criteria for deciding which patients benefit from palliative care can be hard to state explicitly. Our approach uses deep learning to screen patients admitted to the hospital to identify those who are most likely to have palliative care needs. The algorithm addresses a proxy problem - to predict the mortality of a given patient within the next 12 months - and use that prediction for making recommendations for palliative care referral. This frees the palliative care team from manual chart review of every admission and helps counter the potential biases of treating physicians by providing an objective recommendation based on the patient’s EHR. Currently existing tools to identify such patients have limitations, and they are discussed in the next section.

## II. RELATED WORK

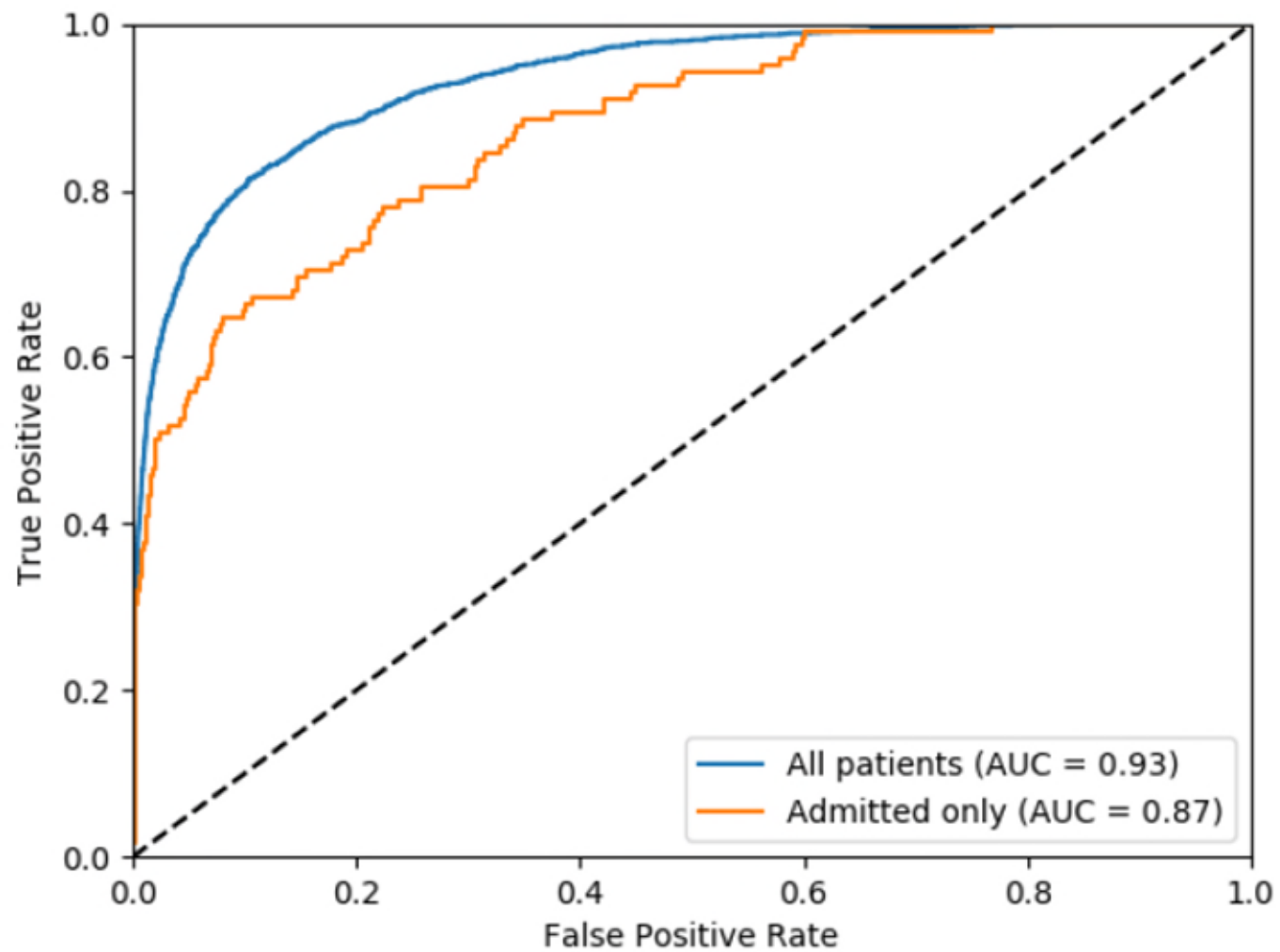


Fig. 5. Receiver Operating Characteristic (ROC) of the model performance on the test set.

# What Can I Start Doing Next Week?

- Think more deliberately
- Talk with your colleagues
- Start documenting prognosis estimates
- Change your template
- Curate trusted tools

# Curate Trusted Tools

- [PredictSurvival.com](http://PredictSurvival.com)
- [#PrognosisScience](#) on social media
- [HemOnc.org](http://HemOnc.org) (cancer)
- GeriPal ePrognosis (Site and App)
- Pallimed prognosis links
  - <http://bit.ly/HPMprognosis>
- Pubmed search and alerts

## Estimating Survival in Patients with Advanced Cancer using Multiple Prognostic Models

This calculator provides survival estimates for patients with advanced cancer based on multiple validated prognostic indices, including the Palliative Prognostic Score (PaP), Palliative Prognostic Score with Delirium (D-PaP), Palliative Prognostic Index (PPI), Performance Status-Based Palliative Prognostic Index (PS-PPI), and several Performance Status/Scales (KPS, PPS, and ECOG).

**Intended only for patients with a survival of six months or less. Data is most valid in the one to three month range.**

Enter as many variables as possible and this prognostic calculator will provide survival data based on published studies.

	Enter as Much as You Can:	Required For:
How Long do You Think the Patient Will Live?	<input type="text"/> days ▾	PaP, D-PaP
Palliative Performance Scale (PPS) <a href="#">Help?</a>	Unavailable ▾	PPS, PPI
Karnofsky Performance Status (KPS) <a href="#">Help?</a>	Unavailable ▾	KPS, PaP, D-PaP
ECOG Performance Status <a href="#">Help?</a>	Unavailable ▾	ECOG, PS-PPI
Edema	Unavailable ▾	PPI, PS-PPI
Oral Intake	Unavailable ▾	PPI, PS-PPI
Dyspnea at Rest	Unavailable ▾	PPI, PS-PPI, PaP, D-PaP
Delirium <sup>1</sup>	Unavailable ▾	PPI, PS-PPI, D-PaP
Anorexia <sup>2</sup>	Unavailable ▾	PaP, D-PaP
Total WBC (cell/cc)	Unavailable ▾	PaP, D-PaP
Lymphocyte Percentage	Unavailable ▾	PaP, D-PaP
<input type="button" value="Prognosticate!"/>		

### Notes:

1. In the PPI delirium is counted as absent if it is due to a single medication. The D-PaP study makes no such allowance for medication induced delirium, hence there are three options.
2. This is the *clinical symptom* of anorexia (ie, lack of appetite), not to be confused with cachexia or weight loss.

**Disclaimer:** Please keep in mind the information given on this website represents survival estimates only – it is important to use clinical judgement to interpret the results accordingly. *Do not use this information without the input of a healthcare provider.*

## Estimating Survival in Patients with Advanced Cancer using Multiple Prognostic Models

This calculator provides survival estimates for patients with advanced cancer based on multiple validated prognostic indices, including the Palliative Prognostic Score (PaP), Palliative Prognostic Score with Delirium (D-PaP), Palliative Prognostic Index (PPI), Performance Status-Based Palliative Prognostic Index (PS-PPI), and several Performance Status/Scales (KPS, PPS, and ECOG).

**Intended only for patients with a survival of six months or less. Data is most valid in the one to three month range.**

Enter as many variables as possible and this prognostic calculator will provide survival data based on published studies.

	Enter as Much as You Can:	Required For:
How Long do You Think the Patient Will Live?	30 <input type="text"/> days <input type="button" value="v"/>	PaP, D-PaP
Palliative Performance Scale (PPS) <a href="#">Help?</a>	30% <input type="button" value="v"/>	PPS, PPI
Karnofsky Performance Status (KPS) <a href="#">Help?</a>	30% <input type="button" value="v"/>	KPS, PaP, D-PaP
ECOG Performance Status <a href="#">Help?</a>	4 <input type="button" value="v"/>	ECOG, PS-PPI
Edema	No <input type="button" value="v"/>	PPI, PS-PPI
Oral Intake	Reduced but more than mo <input type="button" value="v"/>	PPI, PS-PPI
Dyspnea at Rest	No <input type="button" value="v"/>	PPI, PS-PPI, PaP, D-PaP
Delirium <sup>1</sup>	No <input type="button" value="v"/>	PPI, PS-PPI, D-PaP
Anorexia <sup>2</sup>	Yes <input type="button" value="v"/>	PaP, D-PaP
Total WBC (cell/cc)	Less than 8,500 <input type="button" value="v"/>	PaP, D-PaP
Lymphocyte Percentage	Greater than 20.0% <input type="button" value="v"/>	PaP, D-PaP

Prognosticate!



## Summary Results, Scroll Down for More Detailed Results by Location and Publication

Keep in mind these outputs represent survival estimates only – use clinical judgement to interpret the results accordingly.

Model	Score	Median Survival	Communicating with Patients and Families
<b>Palliative Prognostic Index (PPI):</b>	5.0/15	12 to 25 days	Predicts <i>weeks</i> of survival
<b>Performance Status-Based Palliative Prognostic Index (PS-PPI):</b>	6.5/15	15 to 20 days	Predicts <i>weeks</i> of survival
<b>Palliative Prognostic Score (PaP):</b>	7.0/17.5	25 to 35 days	Predicts <i>weeks</i> of survival
<b>Palliative Prognostic Score with Delirium (D-PaP):</b>	7.0/19.5	65 to 85 days	Predicts <i>months</i> of survival
<b>Palliative Performance Scale (PPS):</b>	30%	13 to 22 days	Predicts <i>weeks</i> of survival
<b>Karnofsky Performance Status (KPS):</b>	30%	29 to 49.8 days	Predicts <i>weeks</i> of survival
<b>ECOG Performance Status:</b>	4	25.5 days	Predicts <i>weeks</i> of survival
<b>Your Estimate:</b>	---	30 days	Predicts <i>weeks</i> of survival

The inputs you gave were: ECOG: 4, KPS: 30, Edema: absent, Lymphocyte: Greater than 20.0%, PPS: 30, WBC: Less than 8,500, Oral Intake: reduced, Delirium: none, Anorexia: present, Dyspnea: absent.

### Summary Result Notes:

- Median survival ranges are taken from the original validation studies for the models as well as select large validation studies (except for ECOG and PS-PPI, which each use one study currently).
- The 'Communicating with Patients and Families' column gives summary prognostic information based on the following definitions: 'days' of expected survival is 10 days or less, 'weeks' is 11 to 60 days, and months includes 61 days and greater.
- Prognostic estimates can differ significantly for the same patient input depending on the clinical scenario in which they are being evaluated. In the detailed results below, papers have been divided by patient location or scenario if available, which may give more accurate information.

## Predicting Impending Death (less than 72 hours) in Advanced Cancer Patients

**This data has only been validated in advanced cancer patients admitted to Acute Palliative Care Units.**

These two models may help predict the probability of death over 72 hours. The first model requires a pretest probability of death within 72 hours. Based on the presence of clinical signs associated with impending death<sup>3</sup> it will modify that probability using likelihood ratios. The second model was derived from the same data, does not require a pretest probability but does require a Palliative Prognostic Scale, see the reference for details on how it was derived.<sup>2</sup>

If you are unsure about entering a pretest probability, it may be helpful that the average advanced cancer patient admitted to an Acute Palliative Care Unit in the cited studies had a pretest probability of 38% of dying within 72 hours.<sup>2,3,4</sup>

**Estimated Probability of Death in the Next 72 Hours:**  %

**Palliative Performance Scale (PPS):**  [Reference to look up the PPS if needed](#)

For each of the following signs, check the box if present and leave blank if not. **If unfamiliar scroll below for some explanations.**

	Present?		Present?		Present?
Drooping of Nasolabial Fold:	<input type="checkbox"/>	Upper GI Bleed:	<input type="checkbox"/>	Urine output < 100 cc in 12 hrs:	<input type="checkbox"/>
Death Rattle:	<input type="checkbox"/>	Pulseless Radial Artery (either side):	<input type="checkbox"/>	Respirations with Mandibular Movement:	<input type="checkbox"/>
Cheyne-Stokes Breathing:	<input type="checkbox"/>	Non-Reactive Pupils:	<input type="checkbox"/>	Decreased Response to Verbal Stimuli:	<input type="checkbox"/>
Decreased Response to Visual Stimuli:	<input type="checkbox"/>	Inability to Close Eyelids:	<input type="checkbox"/>	Hyperextension of the Neck:	<input type="checkbox"/>
Vocal Cord Grunting:	<input type="checkbox"/>	Peripheral Cyanosis:	<input type="checkbox"/>		

Calculate

### Probability of Death in the Next 72 hrs:

- RPA Model:
- Likelihood Ratio Model:

Further Details, by Paper and Patient Location:

**PPI**

**Score: 5.0/15**

Source:	Location:	Probability Survival:	Median Survival:	Notes:
Morita, 1999	palliative care unit	42 days or less: Sens 79%, Spec 77%, PPV 83%, NPV 71%	23 days (95% CI 20-26 days)	All cancer, admitted to inpatient hospice, 350 pts in training cohort, 95 in validation cohort.
Baba, 2015	inpatient with palliative care consult	Not reported	~18 days	All metastatic cancer, no chemotherapy, 554 pts, median survival approximated from figures
Baba, 2015	palliative care unit	Not reported	~12 days	All metastatic cancer, no chemotherapy, 820 pts, median survival approximated from figures
Baba, 2015	home palliative care	Not reported	~25 days	All metastatic cancer, no chemotherapy, 472 pts, median survival approximated from figures

**PS-PPI**

**Score: 6.5/15**

Source:	Location:	Probability Survival:	Median Survival:	Notes:
Yamada, 2016	inpatient with palliative consult	At 21 days: Sens 79.4%, Spec 68.2%, PPV 50.4%, NPV 71.1%	~15 days	All advanced cancer, ~40% on chemotherapy, 906 pts, median survival approximated from figures
Yamada, 2016	palliative care unit	At 21 days: Sens 85.0%, Spec 51.8%, PPV 58.3%, NPV 81.4%	~15 days	All advanced cancer, 8.5% on chemotherapy, 892 pts, median survival approximated from figures
Yamada, 2016	home palliative care	At 21 days: Sens 74.0%, Spec 63.6%, PPV 47.5%, NPV 84.6%	~20 days	All advanced cancer, 14% on chemotherapy, 548 pts, median survival approximated from figures

**PaP**

**Score: 7.0/17.5**

Source:	Location:	Probability Survival:	Median Survival:	Notes:
Maltoni, 1999	mix of outpatient and inpatient hospice	30 days or more: 51.6%	32 days (95% CI 28-39 days)	Advanced solid cancers only, enrolled in hospice, 451 pts in validation cohort.
Baba, 2015	inpatient with palliative care consult	Not reported	~35 days	All metastatic cancer, no chemotherapy, 554 pts, median survival approximated from figures
Baba, 2015	palliative care unit	Not reported	~25 days	All metastatic cancer, no chemotherapy, 820 pts, median survival approximated from figures
Baba, 2015	home palliative care	Not reported	~28 days	All metastatic cancer, no chemotherapy, 472 pts, median survival approximated from figures



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10. B-ALL

References

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## HemOnc.org - A Free Hematology/Oncology Reference

<b>Regimens: 3,248</b>		<b>Regimen variants: 4,920</b>	
<b>Solid Tumors</b>	<b>Malignant Hematology</b>	<b>Cross-Disciplinary</b>	<b>Classical Hematology</b>
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### Links to all main disease pages

<b>Solid Tumors</b>			
<b>Breast Oncology</b>			
<b>Breast cancer</b>	<b>Breast cancer, ER/PR-positive</b>	<b>Breast cancer, HER2-positive</b>	<b>Breast cancer, triple negative (TNBC)</b>
<b>Breast cancer, BRCA-mutated</b>	<b>Breast cancer, PIK3CA-mutated</b>		
<b>Dermatologic Oncology</b>			
<b>Cutaneous BCC</b>	<b>Cutaneous SCC</b>	<b>Melanoma</b>	<b>Melanoma, BRAF-mutated</b>

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## References

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## Gastric cancer

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	<p>Ivy Abraham, MD University of Illinois at Chicago Chicago, IL</p>		<p>Neeta K. Venepalli, MD, MBA University of Illinois at Chicago Chicago, IL</p>

Note: there is significant overlap between regimens for gastric cancer and [esophageal cancer](#), if you can't find the regimen you're looking for here, please try the esophageal cancer page. If you still can't find it, it is possible that we've moved it to the [historical regimens page](#).

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**75 variants on this page**

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- 6.13 Regorafenib monotherapy
- 6.14 Trifluridine and tipiracil monotherapy

# Nivolumab monotherapy

## Regimen

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Study	Evidence	Comparator	Efficacy
<a href="#">Kang et al. 2017 (ATTRACTION-2)</a>	Phase III (E)	Placebo	Superior OS
<a href="#">Janjigian et al. 2018 (CheckMate-032)</a>	Phase II		

*ATTRACTION-2 included patients with GE junction malignancy (82.6% gastric, 8.5% GE junction) and 12.3% of patients had a PD-L1 CPS score of at least 1*

## Immunotherapy

- Nivolumab (Opdivo) 3 mg/kg IV once on day 1

## 14-day cycles

## References

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1. **Subgroup analysis:** Kato K, Satoh T, Muro K, Yoshikawa T, Tamura T, Hamamoto Y, Chin K, Minashi K, Tsuda M, Yamaguchi K, Machida N, Esaki T, Goto M, Komatsu Y, Nakajima TE, Sugimoto N, Yoshida K, Oki E, Nishina T, Tsuji A, Fujii H, Kunieda K, Saitoh S, Omuro Y, Azuma M, Iwamoto Y, Taku K, Fushida S, Chen LT, Kang YK, Boku N. A subanalysis of Japanese patients in a randomized, double-blind, placebo-controlled, phase 3 trial of nivolumab for patients with advanced gastric or gastro-esophageal junction cancer refractory to, or intolerant of, at least two previous chemotherapy regimens (ONO-4538-12, ATTRACTION-2). *Gastric Cancer*. 2019 Mar;22(2):344-354. Epub 2018 Dec 1. [link to original article](#) [link to original article](#) [PubMed](#)
2. **CheckMate-032:** Janjigian YY, Bendell J, Calvo E, Kim JW, Ascierto PA, Sharma P, Ott PA, Peltola K, Jaeger D, Evans J, de Braud F, Chau I, Harbison CT, Dorange C, Tschaika M, Le DT. CheckMate-032 Study: Efficacy and Safety of Nivolumab and Nivolumab Plus Ipilimumab in Patients With Metastatic Esophagogastric Cancer. *J Clin Oncol*. 2018 Oct 1;36(28):2836-2844. Epub 2018 Aug 15. [link to original article](#) [link to PMC article](#) [PubMed](#)

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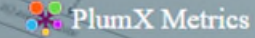
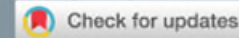
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# Nivolumab in patients with advanced gastric or gastro-oesophageal junction cancer refractory to, or intolerant of, at least two previous chemotherapy regimens (ONO-4538-12, ATTRACTION-2): a randomised, double-blind, placebo-controlled, phase 3 trial

Prof Yoon-Koo Kang, MD • Narikazu Boku, MD • Prof Taroh Satoh, MD • Prof Min-Hee Ryu, MD

Prof Yee Chao, MD • Ken Kato, MD • et al. [Show all authors](#)Published: October 06, 2017 • DOI: [https://doi.org/10.1016/S0140-6736\(17\)31827-5](https://doi.org/10.1016/S0140-6736(17)31827-5)[Summary](#)[References](#)[Article Info](#)[Linked Articles](#)[Related Clinics](#)[Related Specialty](#)[Collections](#)

## Summary

### Background

Patients with advanced gastric or gastro-oesophageal junction cancer refractory to, or intolerant of, two or more previous regimens of chemotherapy have a poor prognosis, and current guidelines do not recommend any specific treatments for these patients. We assessed the efficacy and safety of nivolumab, a fully human IgG4 monoclonal antibody inhibitor of programmed death-1 (PD-1), in patients with advanced gastric or gastro-oesophageal junction



## Findings

Between Nov 4, 2014, and Feb 26, 2016, we randomly assigned 493 patients to receive nivolumab (n=330) or placebo (n=163). At the data cutoff (Aug 13, 2016), median follow-up in surviving patients was 8·87 months (IQR 6·57–12·37) in the nivolumab group and 8·59 months (5·65–11·37) in the placebo group. Median overall survival was 5·26 months (95% CI 4·60–6·37) in the nivolumab group and 4·14 months (3·42–4·86) in the placebo group (hazard ratio 0·63, 95% CI 0·51–0·78; p<0·0001). 12-month overall survival rates were 26·2% (95% CI 20·7–32·0) with nivolumab and 10·9% (6·2–17·0) with placebo. Grade 3 or 4 treatment-related adverse events occurred in 34 (10%) of 330 patients who received nivolumab and seven (4%) of 161 patients who received placebo; treatment-related adverse events led to death in five (2%) of 330 patients in the nivolumab group and two (1%) of 161 patients in the placebo group. No new safety signals were observed.

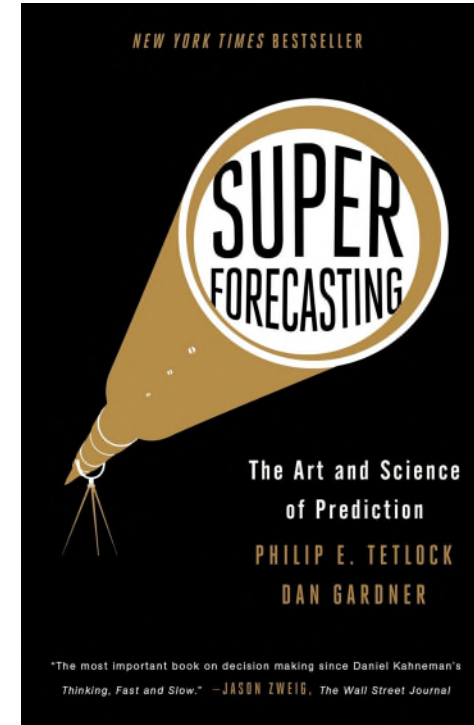
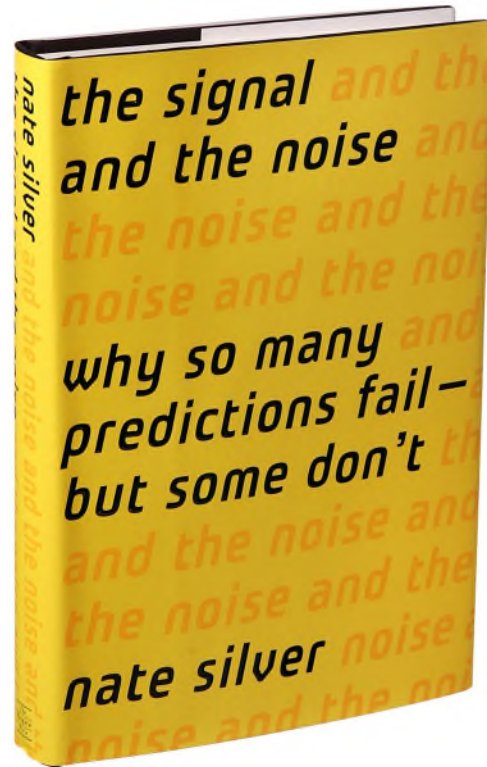
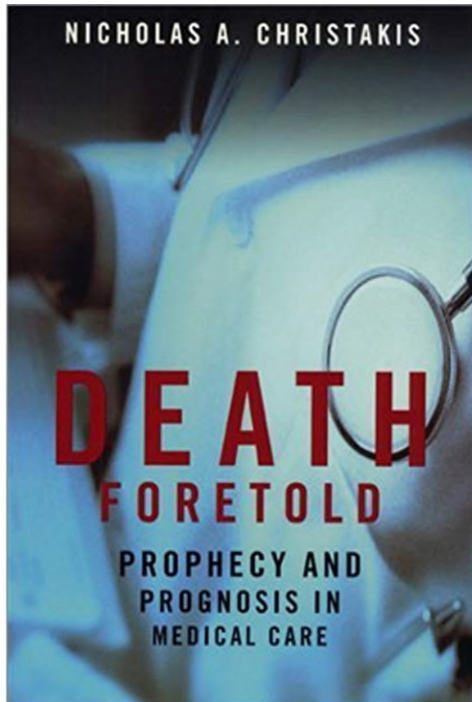
	<b>Nivolumab</b>	<b>Placebo</b>
Median Overall Survival	5.26 mos	4.24 mos
12-month Overall Survival	26.2%	10.9%

Never bother with progression-free survival

# Curate Trusted Tools

- [PredictSurvival.com](http://PredictSurvival.com)
- [#PrognosisScience](#) on social media
- [HemOnc.org](http://HemOnc.org) (cancer)
- GeriPal ePrognosis (Site and App)
- Pallimed prognosis links
  - <http://bit.ly/HPMprognosis>
- Pubmed search and alerts

# Recommended Reading



# Summary

- Seek out prognosis research
- Learn from other fields
- Document, document, document
- Curate trusted tools
- Palliative care should own prognosis
- Learn from our success and failure

# References

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